

**HEREFORDSHIRE COUNCIL
AUDIT PLAN 2010-11**

AUDIT AREA	STATUS	AUDIT OPINION
a. FUNDAMENTALS		
Debtors	Final	Good
Creditors	Final	Satisfactory
Education Transport	Final	Marginal
Cash and Deposit	Final	Satisfactory
Treasury Management	Final	Satisfactory
Payroll	Final	Good
Bank Reconciliation	Final	Good
FMS General Ledger	Draft with Client	Good
Asset Register	Final	Satisfactory
b. NON -FUNDAMENTALS		
Car park Income	Final	unsatisfactory
Agency Payments	Work In Progress	
Homeless Persons Loans	Final	Marginal
Penalty Charge Notice	Final	Good
Continuing Health Care (Joint)	Final	Marginal
Market Fees and Charges	Work In Progress	
c. GOVERNANCE AND ANTIFRAUD		
Annual Governance Statement 2009/10	Final	Satisfactory
National Fraud Initiative	Work in progress and ongoing	
Procurement and contract procedures-Children Services	Draft with Manger	
d. OTHER KEY SYSTEMS		
Members Allowances and Expenses (December 2009 to March 2010)	Final	Satisfactory
Members Allowances and Expenses (April 2010 to July 2010)	Final	Good
Members Allowances and Expenses(August 2010 – December 2010)	Draft with Manager	
Risk Management	Work In Progress	
CRB - Consultancy	Final	N/a
Project Management	Work In Progress	
e. ICT.PROTOCOLS AND CONTROLS(COUNCIL – WIDE)		
ISO 27001 Physical Security (Joint)	Final	Minor Non-compliance
ISO 27001 Third Part Compliance (Joint)	Draft with Client	
ISO 27001 Business Continuity (Joint)	Final	Minor Non-compliance
ISO 27001 Risk Management(Joint)	Work In Progress	
Information Policy Group (IPG) Consultancy	Work In Progress	
Benefits Realisation (Joint)	Final	Marginal
HomePoint Security	Final	Satisfactory
Agresso Implementation, Access Controls, Control Environment	Draft with Client	
ICT Inventory Check	Draft with Client	
Access Controls, CT, HB, NDR, Payroll, Cash Receipting, Academy	Final	Good

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f. ESTABLISHMENTS		
Earl Mortimer College (Minster College)	Final	unsatisfactory
John Masefield High School	Final	Satisfactory
Registration Service	Work In Progress	
Bank Account Schools		
Kingston High (Reconciliation)	Final	Good
St Mary's R.C. High	Draft with Client	Satisfactory
Schools Establishment Audits (DCSF Standard)		
Aylestone High School	Final	
Bishops of Hereford Bluecoat	Draft with Client	Conditional Pass
Whitecross High School	Final	FMSIS-Met
Ashfield Park Primary School	Final	FMSIS-Met
Bosbury C.E. Primary School	Final	FMSIS-Met
Bridstow C. E. Primary School	Final	FMSIS-Met
Burghill Primary School	Final	FMSIS-Met
Bodenham St. Michaels C.E Primary School	Final	FMSIS-Met
St. Joseph's R.C. Primary School	Final	FMSIS-Met
Colwall C.E. Primary School	Final	FMSIS-Met
Lea C.E. Primary School	Draft with Client	
Llangrove C. E. Primary School	Final	FMSIS-Met
Longtown Primary School	Final	FMSIS-Met
Lord Scudamore Primary School	Final	FMSIS-Met
Michaelchurch Escley Primary School	Final	FMSIS-Met
Much Marcle R. C. Primary School	Final	FMSIS-Met
Our lady's R. C. Primary School	Final	FMSIS-Met
Peterchurch Primary School	Final	FMSIS-Met
Shobdon Primary School	Final	FMSIS-Met
Fownhope St. Marys C. E. Primary School	Final	FMSIS-Met
Mordiford Primary School	Final	FMSIS-Met
St. Peters Primary School, Bromyard	Final	FMSIS-Met
St. Weonards Primary School	Final	FMSIS-Met
Stoke Prior (Leominster) Primary School	Final	FMSIS-Met
Sutton Primary School	Final	FMSIS-Met
Whitchurch C. E. Primary School	Final	FMSIS-Met
g. VERIFICATION AND PROBITY		
ISO 14001 GEM Systems	Final	Minor Non-compliance
Inventory Checks	Ongoing	
Staff Register of Gifts	Work In Progress	
h. RECOMMENDATION FOLLOW UP		
Trade and Domestic Waste	Final	N/a
Travel and Subsistence	Final	N/a
Procurement and Contract Procedures -Resources	Final	N/a
ISIS Payments	Final	N/a
ISO 27001 Quarter 1	Final	N/a
ISO 27001 Quarter 2	Final	N/a
ISO 27001 Quarter 3	Draft with Client	
ISO 14001 (GEM) Quarter 1	Final	N/a
ISO 14001 (GEM) Quarter 2/3	Final	N/a
Herefordshire Music Service	Final	N/a
Supporting People	Work In Progress	

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Car Park income	Work In Progress	
Education FMS	Work In Progress	
NDR/Council Tax	Final	N/a
Minster School	Work In Progress	
i. PERFORMANCE MANAGEMENT		
Performance Indicators	Work In Progress	
Performance Management	Final	Satisfactory